



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re United States Patent Application of:

Applicant: BAUM, Thomas H., et al.

Application No.: 10/008,980

Date Filed: December 6, 2001

Title: METHOD OF FABRICATING  
IRIDIUM-BASED  
MATERIALS AND  
STRUCTURES ON  
SUBSTRATES

) Docket No.: 2771-260 CIP DIV  
) (7482)

) Examiner: TALBOT, Brian K.

) Art Unit: 1762

) Confirmation No.: 5984

) Customer No.: 25559

**EXPRESS MAIL CERTIFICATE**

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, and Express Mailed under the provisions of 37 CFR 1.10.

  
L. Stephen Lockett

December 29, 2003

Date

01/06/2004 HLE333 00000105 10008980

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
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Alexandria, VA 22313-1450

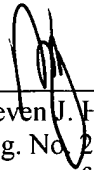
Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☒ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☒ c. The payment of the fee under 37 C.F.R. §1.17(p) of \$180.00 is authorized to be charged in the attached credit card authorization form.
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Respectfully submitted,

  
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FORM PTO 449	US Dept. of Commerce Patent and Trademark Office	ATTORNEY DOCKET NO. 2771-260 CIP DIV (7482)	SERIAL NO. 10/008,980
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)		APPLICANT  BAUM, Thomas H. et al.	
		FILING DATE December 6, 2001	GROUP 1762

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,874,364	02/23/1999	Nakabayashi et al.			03/26/1996
	BB	6,271,077	08/07/2001	Nakabayashi et al.			11/04/1999

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)**


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

\* All the foreign patents and publications that are not written in English language are accompanied by their respective English abstracts, which constitute concise explanation of relevance of the non-English patents and publications that satisfy the requirements of 37 C.F.R. § 1.98(a)(3)(i), according to MPEP 609 III A(3).